

Overview of physiological issues underlying an Autism Spectrum diagnosis

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Autism has conventionally been considered genetic and hardwired. However a growing body of physiological research and observations are not consistent with this generalization. These include 1) Increasing numbers, 2) Whole-brain involvement and changes after birth, 3) Involvement of the brain as a physical organ, 4) Whole-body involvement, 5) Remarkable brilliance among many people with autism, including some who can't talk; and 6) Both transient and persistent marked improvement and loss of diagnosis. All this suggests that the fundamental problem may be based in an interacting web of physiological problems that in combination lead to obstruction of function or obstruction of expression rather than deficient capability. This talk will review research supporting these points, and consider the medical, scientific and policy implications.

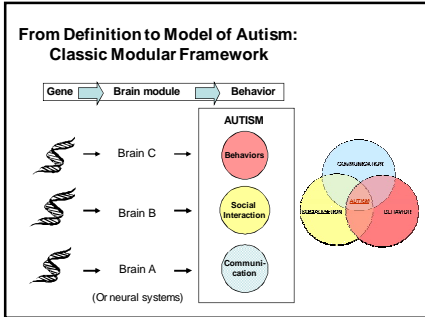
Autism: A Behaviorally Defined Syndrome
Biology is not part of the definition (and neither is prognosis)

DSM-IV Criteria for Autistic Disorder (299.0)

1. Impaired social interaction
2. Impaired social communication
3. Markedly restricted repertoire of activities and interests

Secondary Features of Autism
 Seizures (~30%+), cognitive deficits, sensorimotor abnormalities, savant skills, immune impairments, GI distress(50-75%), food allergies (~50+%)

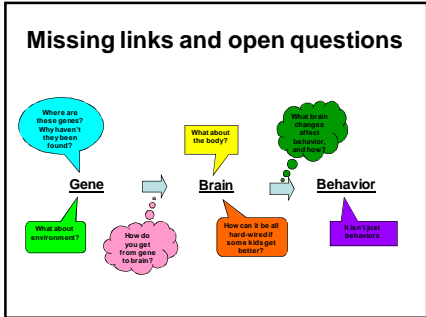
No biological markers exist to identify autism at this time
 Autism is presumably Heterogeneous biologically
 But autism is biological



Typical inference: autism is "hopeless and incurable"

Genes
 ↓ Prenatal
 ↓ Hardwired
 ↓ Hopeless

Is this supported by science?



Anomalies

- Not just genetic: Numbers going up
- Not just brain modules: whole brain involvement
- Not just prenatal: active processes throughout the lifetime
- Not just brain: Systemic features
- Not "hopeless": Resilience, creativity, improvement, recovery

Assumption: Autism is a "developmental disorder"

This seems obvious.

But it carries a lot of extra baggage.

Assumption: Autism is a "developmental disorder"

What are the IMPLICATIONS of this assumption?

1. It's all genetic and predetermined
2. The damage is done really early, probably before you are born
3. The brain is fundamentally and irretrievably differently structured and "broken"
4. Brain changes are the cause of ALL the problems
5. There is nothing you can do about it

LET'S EXAMINE THE EVIDENCE

From Genetic to Gene x Environment and Epigenetics

1. Are the numbers really going up?
2. Genes, environment and epigenetics can interact

Expanding the Spectrum of Autism Mechanisms:

1. Genetically caused static encephalopathy

Herbert, Anderson 2008 in Zimmerman et al

Cumulative Percentage Change of Autism, Cerebral Palsy, Epilepsy, and Mental Retardation over Two Decades

AUTISM UP 1200%

2000 Cell article report: <http://www.ahajournals.org/doi/full/10.1161/01.CIR.000.017285.2000.017285.2000>

Challenge to argument that this is all increased awareness and artifact:

- 600% increase in reported cases 1990 → 2001
- 200% can be explained by non-environmental factors:
 - 24%: age at diagnosis
 - 56%: inclusion of milder cases
 - 120%: Change in DSM diagnostic criteria (DSM-III to DSM-IV)
- The rest of the increase (400%) may have been from environmental contributors
- Even some of the earlier cases could have been "environmental" (Epidemiology/Hertz-Picciotto and Delwiche, 2009)

Is autism really "all" genetic?

Twin studies and high recurrence support genetic influence, not genetic determination.

- More identical than fraternal twin pairs are concordant (share an autism diagnosis)
- But concordance is only 60% for full autism
- 90% concordance is for broad autistic spectrum (i.e., milder) in one of the twins

What accounts for the incomplete concordance?

- Swedish study of schizophrenic identical twins
 - Probable same placentas: 60% concordance
 - Different Placentas: 11% concordance

– Davis, Phelps, & Bracha, 1995

Gene-Environment Interactions: Not Either-Or but Both-And

- G and E probably affect most cases; they don't have to add up to 100%
 - Population-attributable fraction – the number of cases that would not exist if a risk factor were absent
 - Both a genetic vulnerability and an environmental trigger could be necessary

AUTISM AND ENVIRONMENTAL GENOMICS

Herbert, Anderson, Phelps, & Bracha, 2005

Neurotoxicology, 2005

"Environment" is not a constant: Unprecedented production of new-to-nature substances

Body Burden
The Poisons in Babies

Of the 287 chemicals detected in umbilical cord blood:

- 180 cause cancer in humans or animals
- 217 are toxic to the brain and nervous system
- 208 cause birth defects or abnormal development in animal tests
- Nearly 200 have been banned from the market for years

www.bodyburden.org

Expanding the Spectrum of Autism Mechanisms:

2. Gene-environment caused static encephalopathy

Herbert, Anderson 2008 in Zimmerman et al

Not necessarily just prenatal

TIMING OF POSTNATAL ATYPICAL BRAIN GROWTH: EARLY RAPID GROWTH

Tapering off after the first few years

Ongoing postnatal cellular changes in the autistic brain

Neurons in autistic child:
 - larger than control
 - normal in appearance

Neurons in autistic adult male:
 - small in size
 - adequate numbers

Child
 Autism Control

Adult
 Autism Control

Kemper & Bauman 1992
 Bauman and Kemper 2005

Myelination in the First Year

Myelination proceeds in several gradients: deep to superficial posterior to anterior.

The increased WM volume is in white matter areas that myelinate latest.

Radiate white matter myelinates late in 1st year and into 2nd year of life.

Herbet: Deep & Bridging

Herbet, 1920
 Here, myelin stains black.

Inversion Recovery MRI Image
 (Van der Knaap & Valk)

1- 1st Month
 2- 2nd Month
 3- 3rd Month
 4- 7th-9th Month
 Here, myelin appears white.
 5- > 9th Month

www.uw.edu/brain-imaging/brain-imaging.html

Active Tissue pathophysiology in Brain

Inflammation and Oxidative Stress in Autism: chronic, ongoing postnatal medical problems, not confined to brain

Neuroglial activation and neuroinflammation in the brain of patients with autism
 Vargas et al., 2005, Annals of Neurology

Oxidative stress in brain tissues from autistic patients: increased concentration of isoprostanes
 Vargas et al., 2005, Annals of Neurology

- These changes were found at similar intensities in brain aged 5-44 years
- Greater intensity of inflammation in a 3-year old's brain

Pardo: Astrogliosis in Radiate White Matter

Microgliosis

Herbet: Radiate White Matter Enlargement

Pardo

GFAP
 HLA-Dr

Other evidence of pathophysiological alterations in brain tissue in ASD

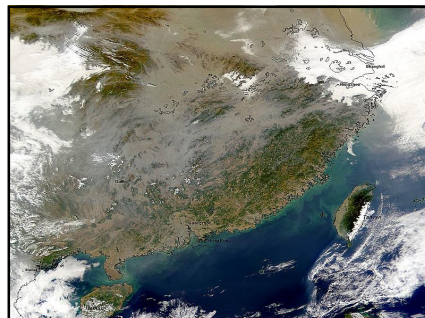
- Elevated cerebellar 3-nitrotyrosine [Sajdel-Sulkowska 2008 (AJBB)]
- reduced neuronal density with increased glial density and lipofuscin in language-related cortex [Lopez-Hurtado 2008 (AJBB)]
- Immunocytochemical detection of three markers of oxidative injury and lipid peroxidation in ASD brain tissue [Evans et al 2008 (AJBB)]
- Elevated pro-inflammatory cytokines and chemokines [Li et al., 2009]
- Altered expression of immune-related genes in brain tissue [Garbett et al., 2008]

Air pollution and brain inflammation

Long-term Air Pollution Exposure Is Associated with Neuroinflammation in Human Brain: Immune Response, Disruption of the Blood-Brain Barrier, Ubiquitin-Protein Deposition, and Accumulation of Irf1 and Irf5 in Children and Young Adults

Neuroinflammation in children in air-polluted Mexico City has cellular and cytokine features very similar to autism
 Calderon et al., 2008

Air pollution already linked to autism
 (e.g. Palmer, Windham)



Current Opinion in Neurology, April, 2010

Contributions of the environment and environmentally vulnerable physiology to autism spectrum disorders

Martha R. Herbert

Purpose of review
 To present a rationale and evidence for contributions of environmental influences and environmentally vulnerable physiology to autism spectrum disorders (ASDs).

Recent findings
 Recent studies suggest a substantial increase in ASD prevalence above earlier Centers for Disease Control figures of one in 150 only partly explainable by data artifacts, underscoring the possibility of environmental contributors to increased prevalence.

Summary
 Genetic, epigenetic, exposure, and pathophysiological evidence all suggest a role for environmental factors in the inception and timing modulation of ASD. This supports the need for seeking targets for early and ongoing medical prevention and treatment of ASD.

Active tissue pathophysiology undermines the idea that brain structure changes cause abnormal function

- What if brain abnormal function led to abnormal structure?
- Or maybe they reinforce each other?

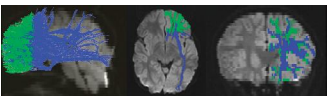
Can we be sure that this is true?

- “You can treat the gut if you want, but that won’t affect the autism because the autism is caused by structural changes in the brain.”
- Researcher commenting on MET gene that is expressed in gut and brain

Common explanation of brain enlargement in ASD: Failure of “pruning”

- Testable through imaging: Failure of pruning implies
 - More fibers and fiber density
 - More cells
- Is this what we find?

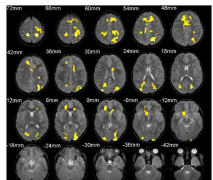
Reduced FA and Increased Diffusivity in Short-Range Fibers: Less fiber integrity, more disorganization
 FA = Fractional Anisotropy: measure of white matter integrity. Lower is “worse”.



- Short-range and long-range association fibers of frontal lobe – separated without arbitrary demarcation
- Fractional Anisotropy (FA):
 - Short-range fibers: Autism less (less white matter integrity) bilat
 - Long-range fibers: no difference
- Apparent Diffusion Coefficient (ADC):
 - Long range greater (more white matter disorganization) bilat, $p < 0.001$
 - Short range fibers: autism more disorganized bilaterally

Sundaram et al., 2008

REGIONS WITH INCREASED T2 RELAXATION TIME IN AUTISM



- Left parietal postcentral gyrus and underlying white matter extending through communicating white matter into ipsilateral left medial and superior frontal gyri and related white matter
- Right middle occipital gray matter and underlying white matter
- Right parietal postcentral gyrus and underlying white matter
- Left inferior and middle white matter extending through anterior corpus callosum into right inferior and middle white matter
- Left middle occipital gyrus and underlying white matter

May be a reflection of altered tissue water properties

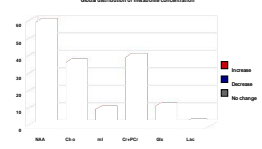
White matter abnormalities in autism detected through tensor-based relaxation time imaging. Neuhoff et al., NeuroImage, 2005.

Lower FA in key regions Linked to higher (worse) diagnostic scores

- White matter FA was significantly lower in key regions of prefrontal lobe and right ventral temporal lobe.
- Lower FA linked to higher (worse) diagnostic symptom scores
- Author interpretation: In light of spectroscopy showing lower NAA → less neuronal integrity or number, lower structural integrity *may be consistent with neuroinflammation*

Cheung et al., 2009

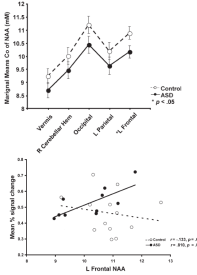
Brain magnetic resonance spectroscopy summary of findings in literature to date: Mostly lower density of metabolites



- Metabolites
 - Mostly reduced or no change; few reports of increase
 - Most studies done on 1.5T which has poor signal to noise ratio (only 1 of 22 done on 3T) and could miss differences

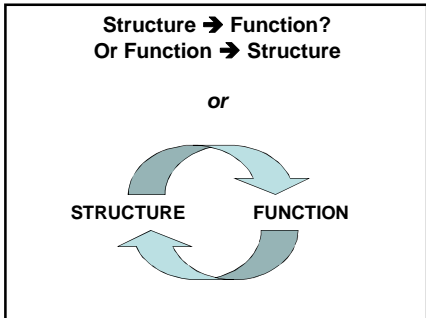
Shetty, Ratai, Ringer, Herbert, 2009

Metabolite level correlating with brain activation



- More NAA in controls than in autism
- Linear correlation of amount of functional activation to amount of NAA
- NAA = N-acetylaspartate

Kleinhaus et al., 2007



Rabbit or duck?

Is autism a **BRAIN DISORDER**
or a
DISORDER THAT AFFECTS THE BRAIN?

Herbert, 2005

Autism is a Whole-Body, Whole-System Condition

- Seizures (~30%+)
- Cognitive deficits
- Sensorimotor abnormalities
- Disordered sleep
- Immune impairments
- GI distress
- Food allergies
- Systemic metabolic disturbances

Multi-system from the start?

Kanner 1943 on body symptoms

Case 1: "Eating has always been a problem....." for him. He has never shown a normal appetite."
 Case 2: "...large and ragged tonsils."
 Case 3: diarrhea and fever following smallpox vaccination healthy except for large tonsils and adenoids.
 Case 4: vomited a great deal during his first year... feeding formulas were changed frequently... tonsils were removed...
 Case 5: nursed very poorly ... quit taking any kind of nourishment at three months... tube-fed five times daily up to one year of age... At camp she slid into avitaminosis and malnutrition but offered almost no verbal complaints."
 Case 7: vomited all food from birth through the third month...
 Case 8: feeding formula caused ... concern... colds, bronchitis, streptococcus infection, impetigo...
 Case 9: none of the usual children's diseases." [? Overactive immune system?]
 Case 10: frequent hospitalizations because the feeding problem... repeated colds and otitis media
 Case 11: was given anterior pituitary and thyroid preparations for 18 months
 Kanner's original paper, discussed in Jepson 2007

AAP Autism GI Consensus Reports January 2010

SUPPLEMENT ARTICLE

Evaluation, Diagnosis, and Treatment of Gastrointestinal Disorders in Individuals With ASDs: A Consensus Report

abstract
Autism spectrum disorders (ASDs) are common and clinically heterogeneous neurodevelopmental disorders. Gastrointestinal disorders in ASDs are common and often associated with ASDs.

SUPPLEMENT ARTICLE

Recommendations for Evaluation and Treatment of Common Gastrointestinal Problems in Children With ASDs

abstract
Children with autism spectrum disorders (ASDs) can benefit from evaluation of gastrointestinal problems for the diagnosis and treatment of abdominal pain, chronic constipation, and gastrointestinal reflux disease. These guidelines help health care providers determine when gastrointestinal symptoms are related to the ASD and when they are not.

Immune system and CNS cross-talk

Ashwood

The "Blood-Brain Barrier" is not an absolute barrier

Figure 1. Immunology and Neurobiology

Not just human metabolism: Abnormal Clostridial bacteria species in autistic children's stool.

Finlayson S, 2002

Abnormal gut flora metabolism can:

- deplete vital nutrients
- alter metabolism of xenobiotics
- produce neurotrophic substances
- Alter immune function

This can cause or worsen metabolic stress.

Beyond the Human Genome to the Extended Genome: Host and gut-microbial co-metabolome interaction

J. Nicholson, Nature Review Microbiology, 2005

Figure 1 | Visualizing the host and gut-microbial co-metabolome interaction. In a series of six

The Every Day of Some Autisms

What we need: Clinical labs that will detect and report pertinent gut pathogens

Glial Cells in the Gut: Immune, Signaling and Barrier Function

Ruhl, 2005

Abstract: The enteric nervous system is composed of both neurons and glia. Recent evidence indicates that enteric glia—which vastly outnumber enteric neurons—are actively involved in the control of gastrointestinal functions: they contain neurotransmitter precursors, have the machinery for uptake and degradation of neurotrogens, and express neurotransmitter receptors which makes them well suited as intermediaries in enteric neurotransmission and information processing in the ENS. Novel data further suggest that enteric glia have an important role in maintaining the integrity of the mucosal barrier of the gut. Finally, enteric glia may also serve as a link between the nervous and immune systems of the gut as indicated by their potential to synthesize cytokines, present antigen and respond to inflammatory insults. The role of enteric glia in human disease has not yet been systematically studied, but based on the available evidence it is predictable that enteric glia are involved in the etiopathogenesis of various pathological processes in the gut, particularly such with neuroinflammatory or neurodegenerative components.

A FINAL COMMON PATHWAY?

Model of autism: Increased ratio of excitation / inhibition in key neural systems

Rubenstein & Merzenich, *Genes, Brain and Behavior* (2003) 2: 295-297

Comments:
 Increased excitation/inhibition ratio may explain many features of autism, e.g.:
 a) Sensory sensitivities
 b) Sleep disturbances
 c) Seizures, epilepsy
 Also, not just brain.

Inflammation and oxidative stress increase this EI ratio systemically. Huge numbers of xenobiotics are excitotoxic. Treatments can modulate this ratio.

Neurometabolic Disorders and Dysfunction in Autism Spectrum Disorders

Nessim Zocovelli, MD, MPH, and Sarah J. Spence, MD, PhD

Abstract: The cause of autism remains largely unknown because it is likely multifactorial, arising from the interaction of biologic, genetic, and environmental factors. The specific role of metabolic abnormalities also is largely unknown, but current research may provide insight into the pathophysiologic underpinnings of autism, at least in some patients. We review a number of known neurometabolic disorders identified as having an autistic phenotype. We also discuss the possible involvement of mitochondrial disorders and dysfunction as well as a theory regarding an increased vulnerability to oxidative stress, by which various environmental toxins produce metabolic alterations that impair normal cellular function. Finally, we review various strategies for metabolic work-up and treatment. Accurate diagnosis of neurometabolic disorders and a broader understanding of underlying metabolic disturbances even in the absence of known disease have important implications both for individual patients and for research into the etiology of autism.

Current Neurology and Neuroscience Reports 2009, 9:129-136

Short-term immune triggers cause long-term brain inflammation

- *TNF-α* increases are triggered by bacterial and other exposures.
 - In the bloodstream this increase lasts 9 hours
 - In the liver it lasts 1 week
 - > IN THE BRAIN IT LASTS 10 MONTHS!!!

This means that someone who gets exposed to a trigger of *TNF-α* every now and then could look like they have a chronic and untreatable brain problem.

Qin et al., *GLIA*. 2007

A Different Model of Autism

- Autism could be a dynamic, active consequence of challenges to cellular function throughout the body, including the brain
- These cellular changes may be related to environmental insults
- Altered cellular response could be at the root of brain and body problems
- This could explain the dynamic features
- Many cellular problems can be treated

Reuben, 2009 in press, "Autism: The centrality of pathophysiology and the shift from static to dynamic encephalopathy" in Chhabra et al, Autism: Oxidative stress, inflammation and immune abnormalities

Classes of Core Functions

Abnormalities at all of these levels in autism— and many other major chronic diseases as well

- Bioenergetics • Mitochondrial dysfunction
- Bioregulation • Metabolic dysfunction
- Transport, circulation • Cerebral hypoperfusion
- Communication, inside and outside the cell • Immune dysregulation • Neurotransmitters, hormones
- Structural integrity • Hypotonia
- Protection and defense • Autoimmune problems
- Elimination of waste • Impaired intestinal function • Impaired detoxification

www.fundamentalmedicine.com

Chronic mechanisms can impact brain FUNCTION

Functional Vulnerabilities

- ❖ Free Radicals
- ❖ Calcium Upregulation
- ❖ Peroxidation
- ❖ Toxic Mediators
- ❖ Chronic Inflammation

- Energy Production
- NMDA plasticity
- Lipid Membranes
- Transmitter Specificity
- Glial Support

These are

- Cellular
- Widespread

- Impact timing, signal intensity, coordination

Functional problems in the brain

- Connectivity
- Sensory processing

- > Are these caused by the large-scale structural problems?
- > Or are they caused by cell metabolism problems?
- > Most research has assumed the former, but not tested it as a hypothesis

Not so hardwired

Improvement in core autism behaviors in setting of fever: not consistent with "hard-wired" cause

PEDIATRICS
OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS

Behaviors Associated with Fever in Children with Autism Spectrum Disorders.
Curran et al, Pediatrics 2007

Challenges posed by this study:

- This is not consistent with "static encephalopathy"
- What mechanisms might be consistent with this?
 - Proposed so far: locus ceruleus, environmental impact on glial gap junctions, cytokines, membrane lipids, dysfunctional electrophysiological oscillations

* Additional pertinent citations:
Holt & Fain et al. Neuropsychology Review, 2007; Herbert in Chauhan et al CRC Press late 2009; Mehler & Purpura 2009

Can Children with Autism Recover? If So, How?

Mally HB, Elizabeth Kubly, Marc de Bruin, Jani Parley, Hilary Swanson, Marika Herten, Deborah Fink

Received 2 September 2008; accepted 11 September 2008
© Springer Science + Business Media, LLC 2008

Abstract Although Autism Spectrum Disorders (ASDs) are generally assumed to be lifelong, we review evidence that between 10 and 20% of children reportedly lose their ASD diagnosis and enter the normal range of cognitive, adaptive and social skills. Predictors of recovery include epilepsy, high intelligence, receptive language, verbal and motor retention, and neuro-developmental, but not overall symptom severity. Earlier age of diagnosis and treatment, and a diagnosis of Pervasive Developmental Disorder-Not otherwise Specified are also favorable signs. The presence of seizures, mental retardation and genetic syndromes are unfavorable signs, whereas head growth does not predict recovery. Controlled studies that report the most recovery come about after the use of behavioral techniques. Reciprocal vestibular effect, higher-order communication and attention. Tics, depression and phobias are frequent residual comorbidities after recovery. Possible mechanisms of recovery include: normalizing input by fixing attention without or reducing the environment, promoting the reinforcement value of social stimuli; promoting flexible behaviors; cross practice of weak skills; reducing stress and stabilizing arousal. Improving nutrition and sleep quality is non-specifically beneficial.

Keywords: Autism spectrum disorders; Language development; Recovery; Neuroplasticity

Introduction
Autism Spectrum Disorders (ASDs) are a group of related developmental disorders that are characterized by severe

Reversal in Mouse Models

Inhibition of p21-activated kinase rescues symptoms of fragile X syndrome in mice

Wenyan L, Shuang-Qi B, ChandraSekaran S, et al. Science 2007; 316: 1007-1011

Reversal of Neurological Defects in a Mouse Model of Rett Syndrome

Jody Guo, Jian Guo, Jim Selfridge, Stuart Cobb, Adrian Bird*

Rett syndrome is an autism spectrum disorder caused by mosaic expression of mutant copies of the X-linked MECP2 gene in neurons. However, neurons do not die, which suggests that this is

Reversal of learning deficits in a Tsc2^{-/-} mouse model of tuberous sclerosis

Dan Ehringer, Sangdel Hui, Carrie Shkransky, Yu Zhou, Weidong Li, David J Kwiatkowski, Vignar Ramakrishna, Akiko J Silva

Rapid reversal of Alzheimer's symptoms by drug that inhibits TNF-α and therefore inhibits inflammation

Journal of Neuroinflammation

Case report
Rapid cognitive improvement in Alzheimer's disease following perispinal etanercept administration
Edward I. Tobinick^{1,2} and Hyman Gross²

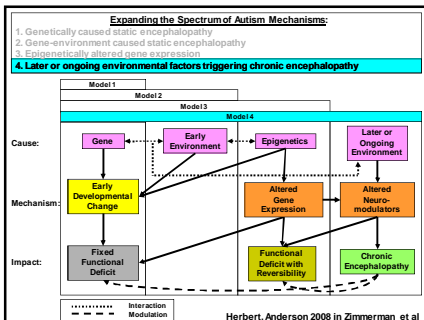
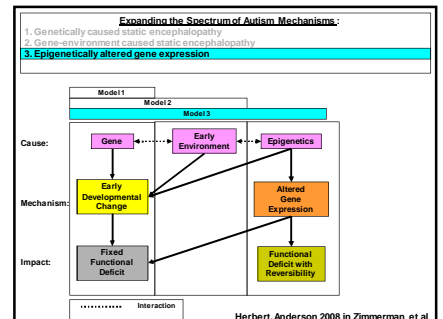
BMC Neurology

Research article
Rapid improvement in verbal fluency and aphasia following perispinal etanercept in Alzheimer's disease
Edward I. Tobinick¹ and Hyman Gross²

Rapid change in brain connectivity suggests "state" not "trait"

Effect of Propranolol on Functional Connectivity in Autism Spectrum Disorder—A Pilot Study
Narayanan et al. (Beversdorf lab) *Brain Imaging and Behavior*, 2010

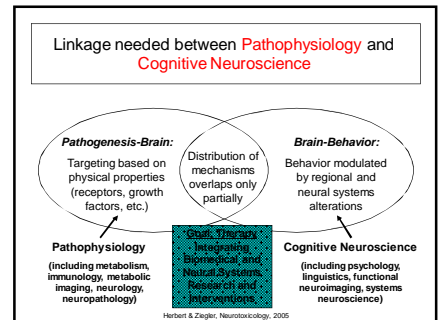
- Functional connectivity, assumed to be a fixed trait, changed rapidly with drug that impacts brain stress level (propranolol)




Article detailing much content for this talk: Autism: The Centrality of Active Pathophysiology and the Shift from Static to Chronic Dynamic Encephalopathy

By Martha R. Herbert, MD, PhD 2009

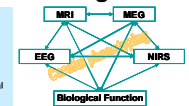
Autism: Oxidative stress, inflammation and immune abnormalities
Chauhan A, Chauhan V, Brown T, eds., in press, 2008, Taylor & Francis/CRC Press.






TRANSCEND Research Program

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In development, in regression, in improvement**

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A Multisystem Evaluation of Infants At Risk for Autism

Collaboration of TRANSCEND and LADDERS, DoD Funded
 Martha Herbert, Initiating PI, Margaret Bauman, Planning PI


The first prospective study to look at MEDICAL development with behavioral and brain development

- Integrated systems biology measures, ages perinatal, 2 weeks, and 4, 9, 14, 20 and 30 months (and more intensive tracking if issues arise):
 - High density array EEG and ERP for signal processing analyses
 - Metabolic, Lipids, Immune, Toxics, Nutrition, biosample banking
 - Autonomic nervous system (stress measure)
 - Neuro and motor exams, neurocognitive, language

Hypotheses/Questions:

- Biological abnormalities may precede behavioral abnormalities and have developmental trajectories
- Environmentally sensitive immune & metabolic measures may predict risk
- For future studies: can early treatment of medical vulnerability reduce severity or prevent autism altogether?

Treatment Research And NeuroScience Evaluation of Neurodevelopmental Disorders



**To climb
To surmount
To exist above and
independent of
To be transcendent
To excel**

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